

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

Department of the Treasury  
Internal Revenue Service

▶ **Do not enter social security numbers on this form as it may be made public.**  
▶ **Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.**

Open to Public Inspection

**For calendar year 2021 or tax year beginning**

**, 2021, and ending**

**, 20**

Name of foundation

**POND FAMILY FOUNDATION**

**A Employer identification number**

**20-1131673**

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

**B Telephone number (see instructions)**

**C/O KIRK POND TREAS 3 CANTER LANE**

**(207) 767-4421**

City or town, state or province, country, and ZIP or foreign postal code

**CAPE ELIZABETH, ME 04107**

**C If exemption application is pending, check here**

**G Check all that apply:**

- Initial return       Initial return of a former public charity  
 Final return       Amended return  
 Address change       Name change

**D 1. Foreign organizations, check here** . . .

**2. Foreign organizations meeting the 85% test, check here and attach computation** . . .

**H Check type of organization:**

- Section 4947(a)(1) nonexempt charitable trust       Other taxable private foundation  
 Section 501(c)(3) exempt private foundation

**E If private foundation status was terminated under section 507(b)(1)(A), check here** . . . . .

**I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$**

**J Accounting method:**  Cash       Accrual  
 Other (specify) \_\_\_\_\_

**F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here** . . .

**9,954,639** (Part I, column (d), must be on cash basis.)

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments . . . . .				
4 Dividends and interest from securities . . . . .				
5a Gross rents . . . . .	86,605	86,605		
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10 . . . . .	148,270			
b Gross sales price for all assets on line 6a <b>576,398</b>				
7 Capital gain net income (from Part IV, line 2) . . . . .		148,270		
8 Net short-term capital gain . . . . .				
9 Income modifications . . . . .				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) . . . . . <b>STM106</b>	1,114	1,114		
12 <b>Total.</b> Add lines 1 through 11 . . . . .	235,989	235,989		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc . . . . .	65,000	19,500		45,500
14 Other employee salaries and wages . . . . .				
15 Pension plans, employee benefits . . . . .				
16a Legal fees (attach schedule) . . . . . <b>STM107</b>	2,650	2,650		
b Accounting fees (attach schedule) . . . . .				
c Other professional fees (attach schedule) <b>STM109</b>	89,408	41,805		47,603
17 Interest . . . . .				
18 Taxes (attach schedule) (see instructions) <b>STM110</b>	328	328		
19 Depreciation (attach schedule) and depletion . . . . .				
20 Occupancy . . . . .				
21 Travel, conferences, and meetings . . . . .	3,449	345		3,104
22 Printing and publications . . . . .				
23 Other expenses (attach schedule) . . . . . <b>STM103</b>	3,744	3,744		
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	164,579	68,372		96,207
25 Contributions, gifts, grants paid . . . . .	330,616			330,616
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	495,195	68,372		426,823
27 Subtract line 26 from line 12:				
a <b>Excess of revenue over expenses and disbursements</b>	(259,206)			
b <b>Net investment income</b> (if negative, enter -0-)		167,617		
c <b>Adjusted net income</b> (if negative, enter -0-)			0	

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
<b>Assets</b>	1	Cash - non-interest-bearing	8,676	39,053	39,053
	2	Savings and temporary cash investments	34,246	88,681	88,681
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) . . . . . STM137	1,941,631	1,858,171	9,665,634
	c	Investments - corporate bonds (attach schedule) . . . . . STM138	396,373	158,664	161,271
	11	Investments - land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,380,926	2,144,569	9,954,639	
<b>Liabilities</b>	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	<b>Total liabilities</b> (add lines 17 through 22)	0	0		
<b>Net Assets or Fund Balances</b>		Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input checked="" type="checkbox"/>			
	24	Net assets without donor restrictions	2,380,926	2,144,569	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. <input type="checkbox"/>			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
29	<b>Total net assets or fund balances</b> (see instructions)	2,380,926	2,144,569		
30	<b>Total liabilities and net assets/fund balances</b> (see instructions)	2,380,926	2,144,569		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,380,926
2	Enter amount from Part I, line 27a	2	(259,206)
3	Other increases not included in line 2 (itemize) ▶ STM115	3	23,090
4	Add lines 1, 2, and 3	4	2,144,810
5	Decreases not included in line 2 (itemize) ▶ STM116	5	241
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 29	6	2,144,569

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Publicly traded securities</b>				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a 576,398		428,128	148,270	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a			148,270	
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 148,270
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	{ }		3

**Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	2,330
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0
3	Add lines 1 and 2	3	2,330
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	2,330
6	Credits/Payments:		
a	2021 estimated tax payments and 2020 overpayment credited to 2021	6a	4,451
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	4,451
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	2,121
11	Enter the amount of line 10 to be: <b>Credited to 2022 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

Part VI-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ <u>ME</u>		X
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		
8b		
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	X	
14 The books are in care of ▶ <u>ANN E POND</u> Telephone no. ▶ <u>207-767-4421</u> Located at ▶ <u>3 CANTER LANE, CAPE ELIZ., ME</u> ZIP+4 ▶ <u>04107</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> <input type="checkbox"/>		
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
<b>1a</b> During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .		X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .		X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	X	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	X	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .		X
(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .		X
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .		X
<b>c</b> Organizations relying on a current notice regarding disaster assistance, check here . . . . . <input type="checkbox"/>		X
<b>d</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? . . . . .		X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? . . . . .		X
If "Yes," list the years ▶ 20 _____, 20 _____, 20 _____, 20 _____		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) . . . . .		
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20 _____, 20 _____, 20 _____, 20 _____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .		X
<b>b</b> If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.) . . . . .		
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .		X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021? . . . . .		X

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

	Yes	No
<b>5a</b> During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		X
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		X
(3) Provide a grant to an individual for travel, study, or other similar purposes?		X
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d) (4)(A)? See instructions		X
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		X
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
<b>c</b> Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
<b>d</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		X
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		X
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		X

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See 990 OFOV ANN E POND 3 CANTER LANE CAPE ELIZ. ME 04107	PRESIDENT AND D	STMA01 14.00	65,000	0
KIRK POND 3 CANTER LANE CAPE ELIZ. ME 04107	TREASURER AND D	1.00	0	0
KYLE POND 62 UPLAND ROAD Waban MA 02468	DIRECTOR	0.20	0	0
JOEL POND 20 ARBORSIDE DR FALMOUTH ME 04105	DIRECTOR	0.20	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000** 0

**Part VII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
DONNA BETTS 37 HEARN ROAD SCARBOROUGH ME 04074	CONSULTING AND ADM	STMC01 68,005

Total number of others receiving over \$50,000 for professional services . . . . .

**Part VIII-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

**Part VIII-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	0
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 . . . . .

EEA

**Part IX** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	8,967,689
b	Average of monthly cash balances	1b	83,876
c	Fair market value of all other assets (see instructions)	1c	0
d	<b>Total</b> (add lines 1a, b, and c)	1d	9,051,565
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	9,051,565
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)	4	135,773
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3	5	8,915,792
6	<b>Minimum investment return.</b> Enter 5% (0.05) of line 5	6	445,790

**Part X** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

1	Minimum investment return from Part IX, line 6	1	445,790
2a	Tax on investment income for 2021 from Part V, line 5	2a	2,330
b	Income tax for 2021. (This does not include the tax from Part V.)	2b	
c	Add lines 2a and 2b	2c	2,330
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	443,460
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	443,460
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XII, line 1	7	443,460

**Part XI** Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	426,823
b	Program-related investments - total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4	4	426,823



**Part XII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7 . . .				
2 Undistributed income, if any, as of the end of 2021:				443,460
a Enter amount for 2020 only . . . . .				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2021:				
a From 2016 . . . . .				
b From 2017 . . . . .				
c From 2018 . . . . .				
d From 2019 . . . . .				
e From 2020 . . . . .				
f Total of lines 3a through e . . . . .				
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>426,823</u>	126,935			
a Applied to 2020, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2021 distributable amount . . . . .				
e Remaining amount distributed out of corpus . . . . .				426,823
5 Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .	16,637			
6 Enter the net total of each column as indicated below:				16,637
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	110,298			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .				
e Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				0
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a . . . . .	110,298			
10 Analysis of line 9:				
a Excess from 2017 . . . . .				
b Excess from 2018 . . . . .				
c Excess from 2019 . . . . .				
d Excess from 2020 . . . . .				
e Excess from 2021 . . . . .				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2021, (b) 2020, (c) 2019, (d) 2018, (e) Total. Rows include: 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed; b 85% (0.85) of line 2a; c Qualifying distributions from Part XI, line 4, for each year listed; d Amounts included in line 2c not used directly for active conduct of exempt activities; e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c; 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets; (2) Value of assets qualifying under section 4942(j)(3)(B)(i); b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed; c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties); (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii); (3) Largest amount of support from an exempt organization; (4) Gross investment income

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

KIRK POND

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

990APP

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XIV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
Middlebury Congregational Church 1242 Whittemore Road Middlebury CT 06762		PC	Outreach programs and mission projects	1,000
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Center for Excellence in Autism and Developmental	80,000
Children's Museum&Theatre of Maine 250 Thompson's Point Road Portland ME 04102		PC	Capital Campaign	20,000
USM Foundation PO Box 9300 Portland ME 04104		PC	Promise Scholarship	10,000
Gulf of Maine Research Institute 350 Commercial Street Portland ME 04101		PC	Student science education	1,000
Making Community Happen 46 Summer Street Rockland ME 04841		PC	Support elderly and disabled residents	1,000
Cape Elizabeth Land Trust 330 Ocean House Road Cape Elizabeth ME 04107		PC	Land preservation	1,500
USM Foundation PO Box 9300 Portland ME 04104		PC	Promise Scholarship	15,000
<b>Total</b> .....				<b>3a</b>
<i>b Approved for future payment</i>				
<b>Total</b> .....				<b>3b</b>

**Part XIV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Thorton Academy 438 Main Street Saco ME 04072		PC	Annual Appeal, Covid-19 related needs	5,000
Buckingham Browne & Nichols School 80 Gerrys Landing Road Cambridge MA 02138		PC	Education-K-12	2,500
92nd Street Y Nursery 1395 Lexington Ave. New York NY 10128		PC	Education-nursery school	1,000
Beaver Country Day School 791 Hammond Street Chestnut Hill MA 02467		PC	Education-6-12	2,500
Trinity School 139 West 91st Street New York NY 10024		PC	Education-K-12	2,500
St. Mark's School 25 Marlboro Road Southborough MA 01772		PC	Education-high school	2,500
Understanding Our Differences, Inc. 100 Walnut Street Newtonville MA 02460		PC	Disability awareness education	5,000
Fay School 48 Main Street Southborough MA 01772		PC	Education-K-9	1,500
<b>Total</b> .....				<b>3a</b>
<b>b Approved for future payment</b>				
<b>Total</b> .....				<b>3b</b>

**Part XIV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
Maine Medical Center 22 Bramhall Street Portland ME 04102		PC	Sze Cardiovascular Fellowship	1,000
Maine Medical Center 22 Bramhall Street Portland ME 04102		PC	The Next 150 Campaign	25,000
Strive 28 Foden Road South Portland ME 04106		PC	Operating support	15,000
Cradles to Crayons 281 Newtonville Ave. Newtonville MA 02460		PC	Everyday Essentials	500
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Capital Campaign Cabinet-Mem. David Smith	500
Partners for World Health 40 Walch Drive Portland ME 04103		PC	Operating support	5,000
Children's Museum & Theatre of Main 250 Thompson's Point Road South Portland ME 04106		PC	Capital Campaign	40,000
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Bricks of Opportunity	500
<b>Total</b> .....				<b>3a</b>
<i>b Approved for future payment</i>				
<b>Total</b> .....				<b>3b</b>

**Part XIV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
American Lung Association 122 State Street Augusta ME 04330		PC	Brunswick-Cycle	500
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Signs of Hope	10,000
Portland Boxing Club (Ptld Olympic) PO Box 644 Portland ME 04104		PC	Youth sports and development	7,000
Good Shepherd Food Bank 3121 Hotel Road Auburn ME 04211		PC	Operating support	1,000
Preble Street 38 Preble Street Portland ME 04101		PC	Operating support-homeless	1,000
Friends of Casco Bay 43 Slocum Drive South Portland ME 04106		PC	To support research, education and advocacy	500
Portland Boxing Club (Ptld Olymp) PO Cox 644 Portland ME 04104		PC	Youth sports and development	2,500
Newton Turkey Trot 1411 Beacon Street Waban MA 02468		PC	Supoports Food Pantry	2,000
<b>Total</b> .....				<b>3a</b>
<i>b Approved for future payment</i>				
<b>Total</b> .....				<b>3b</b>

**Part XIV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
Habitat for Humanity 659 Warren Ave. Portland ME 04103		PC	Operating support	1,000
Children's Center 1 Alden Ave. Augusta ME 04330		PC	Operating support-Mem of D. Flanagan	500
First Congregational Church 301 Cottage Road South Portland ME 04106		PC	Operating support	25,000
Middlbury Congregational Church 1242 Whittemore Road Middlebury CT 06762		PC	Operating support	500
Friendship House 390 Lincoln Street South Portland ME 04106		PC	Addiction recovery	1,000
Cape Elizabeth Land Trust 330 Ocean House Road Cape Elizabeth ME 04107		PC	Land conservation	1,000
Boys & Girls Clubs of Southern ME 277 Cumberland Ave. Portland ME 04101		PC	Operating support	2,500
Wayfinder Schools PO Box 555 Camden ME 04843		PC	Education support	500
<b>Total</b> .....				<b>3a</b>
<i>b Approved for future payment</i>				
<b>Total</b> .....				<b>3b</b>

**Part XIV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
St. Mark's School 25 Marlboro Road Southborough MA 01772		PC	Annual fund	1,000
Thonas Memorial Library Foundation 6 Scott Dyer Road Cape Elizabeth ME 04107		PC	Annual appeal	1,500
Univ. Arkansas Phillips Commun. Col 2807 Hwy 165 S Box A De Witt AR 72042		PC	Grand Prarie Promise Scholarchip Fund	1,000
Thornton Academy 438 Main Street Saco ME 04072		PC	Backpack Program	500
Lamda Chi Alpha Educational Foundat 10 W. Carmel Drive, Suite 220 Carmel IN 46032		PC	We Believe Fund-Education	1,000
Milestone Recovery 65 India Street Portland ME 04101		PC	Substance abuse and homelessness	1,000
USM Foundation PO Box 9300 Portland ME 04104		PC	Support Symposium with Maine Behavioral Health	10,000
The Salvation Army 440 West Nyack Road West Nyack NY 10994		PC	Red Kettle Campaign	5,000
<b>Total</b> .....				<b>3a</b>
<b>b Approved for future payment</b>				
<b>Total</b> .....				<b>3b</b>



**Part XIV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a Paid during the year</p>				
<p>Immigrant Welcome Center 24 Preble Street Portland ME 04101</p>		PC	Operating support	5,000
<p>Maine Behavioral Health 78 Atlantic Place South Portland ME 04106</p>		PC	Staff Breakfast	220
<p>Maine Behavioral Health 78 Atlantic Place South Portland ME 04106</p>		PC	In kind flowers, meals, etc.	8,396
<b>Total</b> .....				<b>3a</b> 330,616
b Approved for future payment				
<b>Total</b> .....				<b>3b</b>



Part XVI Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 3 columns: Question, Yes, No. Rows include 1a(1), 1a(2), 1b(1) through 1b(6), and 1c. 'No' column contains 'X' for all rows.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Multiple empty rows.

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?
b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Multiple empty rows.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
Sign Here: Ann E Pond, Electronically filed, Date, PRESIDENT Title.

Paid Preparer Use Only: Print/Type preparer's name: Michael J Quinlan; Preparer's signature: Michael J Quinlan; Date: 04-24-2022; Firm's name: Jensen Baird Gardner & Henry; Firm's address: Ten Free Street, Portland ME 04101; Firm's EIN: P01356119; Phone no.: 207-775-7271.



# IRS e-file Signature Authorization for a Tax Exempt Entity

Department of the Treasury  
Internal Revenue Service

For calendar year 2021, or fiscal year beginning \_\_\_\_\_, 2021, and ending \_\_\_\_\_, 20

▶ Do not send to the IRS. Keep for your records.

# 2021

▶ Go to [www.irs.gov/Form8879TE](http://www.irs.gov/Form8879TE) for the latest information.

Name of filer

EIN or SSN

**POND FAMILY FOUNDATION**

20-1131673

Name and title of officer or person subject to tax

**Ann E Pond, PRESIDENT**

## Part I Type of Return and Return Information

Check the box for the return for which you are using this Form 8879-TE and enter the applicable amount, if any, from the return. Form 8038-CP and Form 5330 filers may enter dollars and cents. For all other forms, enter whole dollars only. If you check the box on line 1a, 2a, 3a, 4a, 5a, 6a, 7a, 8a, 9a, or 10a below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, 5b, 6b, 7b, 8b, 9b, or 10b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I.

1a	Form 990 check here . . . . .	<input type="checkbox"/>	b	Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . . . .	1b	_____
2a	Form 990-EZ check here . . . . .	<input type="checkbox"/>	b	Total revenue, if any (Form 990-EZ, line 9) . . . . .	2b	_____
3a	Form 1120-POL check here . . . . .	<input type="checkbox"/>	b	Total tax (Form 1120-POL, line 22) . . . . .	3b	_____
4a	Form 990-PF check here . . . . .	<input checked="" type="checkbox"/>	b	Tax based on investment income (Form 990-PF, Part V, line 5) . . . . .	4b	_____
5a	Form 8868 check here . . . . .	<input type="checkbox"/>	b	Balance due (Form 8868, line 3c) . . . . .	5b	2,330
6a	Form 990-T check here . . . . .	<input type="checkbox"/>	b	Total tax (Form 990-T, Part III, line 4) . . . . .	6b	_____
7a	Form 4720 check here . . . . .	<input type="checkbox"/>	b	Total tax (Form 4720, Part III, line 1) . . . . .	7b	_____
8a	Form 5227 check here . . . . .	<input type="checkbox"/>	b	FMV of assets at end of tax year (Form 5227, Item D) . . . . .	8b	_____
9a	Form 5330 check here . . . . .	<input type="checkbox"/>	b	Tax due (Form 5330, Part II, line 19) . . . . .	9b	_____
10a	Form 8038-CP check here . . . . .	<input type="checkbox"/>	b	Amount of credit payment requested (Form 8038-CP, Part III, line 22) . . . . .	10b	_____

## Part II Declaration and Signature Authorization of Officer or Person Subject to Tax

Under penalties of perjury, I declare that  I am an officer of the above entity or  I am a person subject to tax with respect to (name of entity) \_\_\_\_\_, (EIN) \_\_\_\_\_ and that I have examined a copy of the

2021 electronic return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund, if applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the electronic return and, if applicable, the consent to electronic funds withdrawal.

**PIN: check one box only**

I authorize Jensen Baird Gardner & Henr to enter my PIN 87654 as my signature  
ERO firm name Enter five numbers, but do not enter all zeros

on the tax year 2021 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

As an officer or person subject to tax with respect to the entity, I will enter my PIN as my signature on the tax year 2021 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Signature of officer or person subject to tax ▶

Date ▶

## Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

012118 51011  
Don't enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2021 electronically filed return indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature ▶ Michael J Quinlan

Date ▶ 04-24-2022

**ERO Must Retain This Form - See Instructions  
Don't Submit This Form to the IRS Unless Requested To Do So**

Federal Supporting Statements

2021 PG01

Name(s) as shown on return

POND FAMILY FOUNDATION

Tax ID Number

20-1131673

Form 990PF - Part VII  
Compensation Explanation

Statement #A01

Name

ANN E POND

Explanation

FOR SERVICES RENDERED AS PRESIDENT OF CORPORATION AND OVERSEEING GRANT MAKING.

Form 990PF - Part VII - Line 3  
Contractor Compensation Explanation

PG01  
Statement #C01

Name

DONNA BETTS

Explanation

GRANT AND FOUNDATION ADMINISTRATION AND CONSULTING

Form 990PF - Part III - Line 3  
Other Increases Schedule

PG01  
Statement #115

Uncleared checks at 12-31-22	_____ 23,090
<b>Total</b>	<b>===== 23,090</b>

Form 990PF - Part III - Line 5  
Other Decreases Schedule

PG01  
Statement #116

Miscellaneous Adjustments	_____ 241
<b>Total</b>	<b>===== 241</b>

**Federal Supporting Statements**

**2021 PG01**

Name(s) as shown on return

POND FAMILY FOUNDATION

Tax ID Number

20-1131673

**Form 990PF - Part II - Line 10(b)  
Investments: Corporate Stock Schedule**

Statement #137

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
HMPAYSON MANAGED ACCT.	1,941,631	1,858,171	9,665,634
<b>Totals</b>	<u>1,941,631</u>	<u>1,858,171</u>	<u>9,665,634</u>

**Form 990PF - Part II - Line 10(c)  
Investments: Corporate Bond Schedule**

PG01  
Statement #138

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
HM PAYSON MANAGED ACT	396,373	158,664	161,271
<b>Totals</b>	<u>396,373</u>	<u>158,664</u>	<u>161,271</u>

# Federal Supporting Statements

**2021** PG01

Tax ID Number

20-1131673

Name(s) as shown on return

**POND FAMILY FOUNDATION**

Statement #103~

## Form 990PF - Part I - Line 23 - Other Expenses Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
CORPORATE ANNUAL REPORT FEE	35	35	0	0
MISC. OFFICE EXPENSES	2,958	2,958	0	0
Bond Premium	751	751	0	0
<b>Totals</b>	<u><u>3,744</u></u>	<u><u>3,744</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

PG01  
Statement #106~

## Form 990PF - Part I - Line 11 - Other Income Schedule

Description	Revenue and expenses	Net investment	Adjusted net income
NON-DIVIDEND DISTRIBUTIONS	136	136	0
TAX REFUND	0	0	0
Capital Gain Distributions	978	978	0
<b>Totals</b>	<u><u>1,114</u></u>	<u><u>1,114</u></u>	<u><u>0</u></u>



# Federal Supporting Statements

**2021** PG01

Tax ID Number

20-1131673

Name(s) as shown on return  
**FOND FAMILY FOUNDATION**

Statement #107~

## Form 990PF - Part I - Line 16(a) - Legal Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
JENSEN BAIRD	2,650	2,650	0	0
<b>Totals</b>	<u>2,650</u>	<u>2,650</u>	<u>0</u>	<u>0</u>

PG01  
Statement #109~

## Form 990PF - Part I - Line 16(c) - Other Professional Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
HM PAYSON	21,403	21,403	0	0
DONNA BETTS	68,005	20,402	0	47,603
<b>Totals</b>	<u>89,408</u>	<u>41,805</u>	<u>0</u>	<u>47,603</u>

# Federal Supporting Statements

**2021** PG01

Tax ID Number

20-1131673

Name(s) as shown on return

**POND FAMILY FOUNDATION**

Form 990PF - Part I - Line 18 - Taxes Schedule Statement #110-

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
IRS EXCISE TAX	0	0	0	0
FOREIGN TAXES	328	328	0	0
IRS ESTIMATED TAX PAYMENTS	0	0	0	0
<b>Totals</b>	<u>328</u>	<u>328</u>	<u>0</u>	<u>0</u>

**Federal Supporting Statements**

(This page is e-filed with the return. Include it if paper-filing.)

Name(s) as shown on return

**2021** PG01

POND FAMILY FOUNDATION

Your Social Security Number

20-1131673

Form 990PF - Part XIV - Line 2

**Application Submission Information**

**Grant Program**

GENERAL

**Applicant Name**

ANN ST. JOHN POND

**Address**

3 CANTER LANE  
CAPE ELIZABETH ME 04107

**Telephone**

207-767-4421

**Email Address**

NSTJOHN46@HOTMAIL.COM

**Form & Content**

THERE IS NO PRESCRIBED FORM IN WHICH THE APPLICATIONS SHOULD BE SUBMITTED. THE APPLICANT MUST SUBMIT MATERIALS REGARDING ITS IRS TAX EXEMPT STATUS AND EXPLAIN THE PURPOSE FOR THE REQUESTED GRANT.

**Submission Deadline**

NO SUBMISSION DEADLINE

**Restrictions on Award**

THE FOUNDATION HAS LIMITED RESOURCES AND LIMITS GRANTS TO TAX EXEMPT ORGANIZATIONS WHICH QUALIFY AS PUBLIC CHARITIES.

**Estimated Tax on Unrelated Business Taxable  
 Income for Tax-Exempt Organizations**  
 (and on Investment Income for Private Foundations)

► Go to [www.irs.gov/Form990W](http://www.irs.gov/Form990W) for instructions and the latest information.  
 ► Keep for your records. Do not send to the Internal Revenue Service.

**2022**

1	Unrelated business taxable income expected in the tax year	1	
2	Tax on the amount on line 1. See instructions for tax computation	2	
3	Alternative minimum tax for trusts. See instructions	3	
4	Total. Add lines 2 and 3	4	
5	Estimated tax credits. See instructions	5	
6	Subtract line 5 from line 4	6	
7	Other taxes. See instructions	7	
8	Total. Add lines 6 and 7	8	
9	Credit for federal tax paid on fuels. See instructions	9	
10a	Subtract line 9 from line 8. <b>Note:</b> If less than \$500, the organization is not required to make estimated tax payments. Private foundations, see instructions	10a	2,330
b	Enter the tax shown on the 2021 return. See instructions. <b>Caution:</b> If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 10a on line 10c	10b	2,330
c	<b>2022 Estimated Tax.</b> Enter the smaller of line 10a or line 10b. If the organization is required to skip line 10b, enter the amount from line 10a on line 10c	10c	2,330

		(a)	(b)	(c)	(d)	
11	Installment due dates. See instructions	11	05-16-2022	06-15-2022	09-15-2022	12-15-2022
12	Required installments. Enter 25% of line 10c in columns (a) through (d). But see instructions if the organization uses the annualized income installment method, the adjusted seasonal installment method, or is a "large organization."	12	583	583	583	581
13	2021 Overpayment. See instructions	13	530	530	530	531
14	Payment due (Subtract line 13 from line 12)	14	53	53	53	50

2021 Filing Instructions  
POND FAMILY FOUNDATION  
Tax year ending 12-31-2021

**Form filed:**

Form 990-PF and supplemental forms and schedules

**Filing method:**

The return will be e-filed once the signed and dated Form 8879-TE has been received by this office. Do not mail the return to the IRS.

**Due date:**

05-16-2022

**The return reflects neither a refund nor a balance due.**

**Transaction method:**

The full amount of the \$2,121 overpayment will be applied to next year's estimated taxes.

**Other information:**

If the return is not filed by the due date (including any extension granted), attach a statement giving the reason for not filing on time.

**Please note:**

The Taxpayer First Act requires tax-exempt organizations to electronically file all information returns in the 990 series and related forms for tax years beginning after July 1, 2019. Mailing these returns is no longer allowed.

Account Number: **5990712211**  
 December 01, 2021 To December 31, 2021

*Pond Family Foundation  
 EIN: 20-1131673  
 2021 990-IF Part II*




### Account Activity Summary

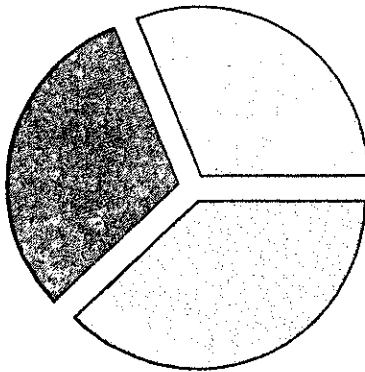
	This Period	Year To Date	Realized Capital Gains / Losses	
			This Period	Year To Date
<b>Beginning Market Value</b>	<b>9,410,006.29</b>	<b>8,415,577.58</b>		
Dividend Income	12,913.98	78,845.73	Long Term	721.72
Interest Income	6.57	7,326.33	<b>Total Gains / Losses</b>	<b>721.72</b>
Other Income	721.72	857.72		<b>148,855.31</b>
Cash Deposits	12,920.55	86,564.53		
Pymts To/for Beneficiaries	0.00	480,000.00		
Fees	1,863.63	21,403.06		
Withdrawals And Distributions	12,920.55	86,308.06		
Change In Market Value	493,801.80	1,914,125.96		
<b>Ending Market Value</b>	<b>9,915,586.73</b>	<b>9,915,586.73</b>		

### Asset Statement

Description	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
<b>Cash And Cash Equivalents</b>				
FDIC INSURED MONEY MARKET DEPOSIT ACCOUNT	88,681.41	1.00	88.68	0.10
	88,681.41	1.00		
<b>* Total Cash And Cash Equivalents</b>	<b>88,681.41</b>		<b>88.68</b>	<b>0.10</b>
	88,681.41		0.00	

### Bond Quality Summary

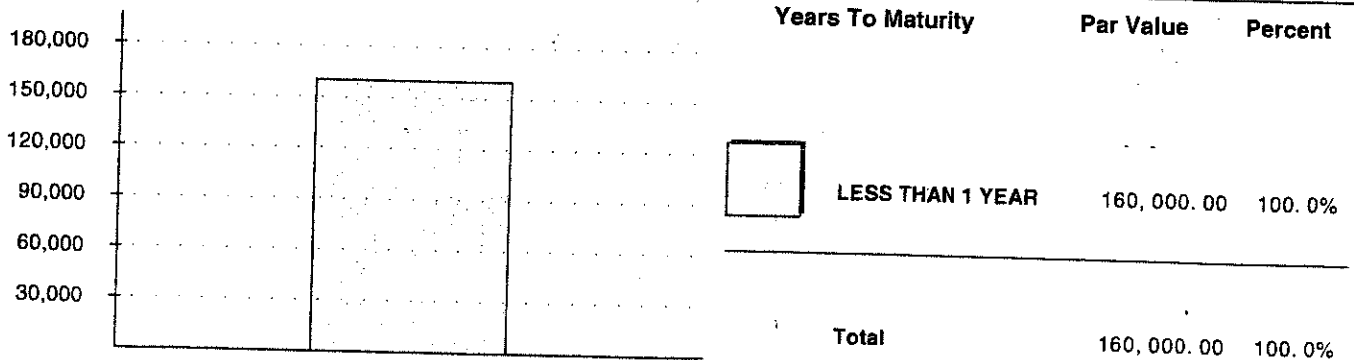
S & P Quality Rating	Market Value	Percent
 A+	50,218.50	31.1%
 BBB-	50,100.50	31.1%
 NOT RATED	60,952.20	37.8%
<b>Total</b>	<b>161,271.20</b>	<b>100.0%</b>



Account Number: **5990712211**  
 December 01, 2021 To December 31, 2021

### Asset Statement ( Continued )

#### Bond Maturity Summary

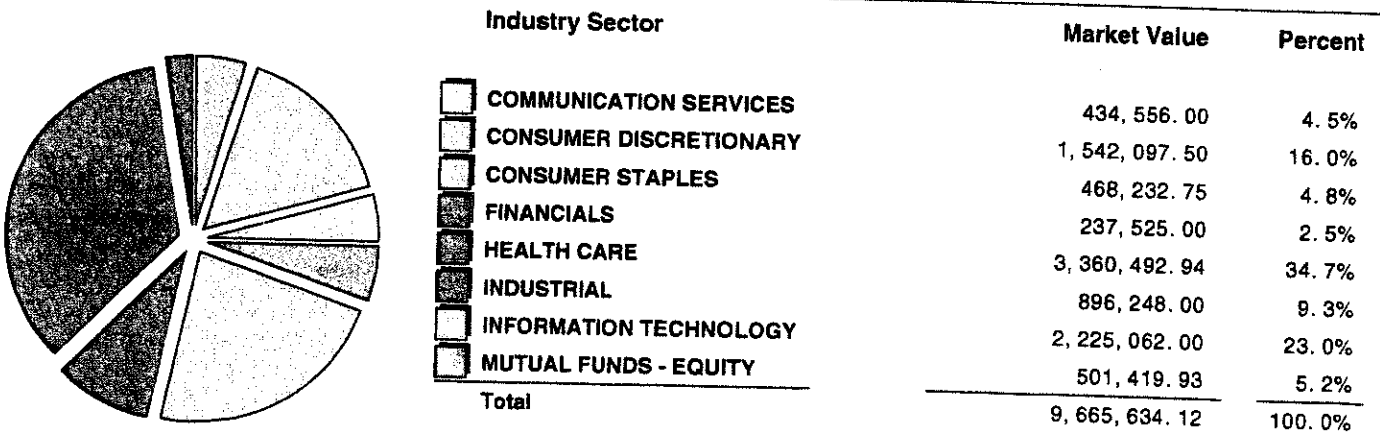


Average Time To Maturity: 0.4 Years

Current Yield: 2.73%

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Yield Current/ Maturity
<b>Fixed Income</b>						
ABBOTT LABORATORIES NT DTD 03/10/2015 2.55% 03/15/2022	A+	50,000.000	50,218.50 49,066.00	100.44 98.17	1,275.00 1,132.50	2.54 0.41
ARROW ELECTRS INC NT DTD 03/02/2015 3.5% 04/01/2022-2022	BBB-	50,000.000	50,100.50 49,878.00	100.20 99.76	1,750.00 222.50	3.49 2.65
GOLDMAN SACHS BK USA NY DTD 10/18/2017 2.3% 10/18/2022		60,000.000	60,952.20 59,700.00	101.59 99.50	1,380.00 1,252.20	2.26 0.28
<b>* Total Fixed Income</b>			161,271.20 158,664.00		4,405.00 2,607.20	2.73

#### Equity / Stocks Diversification Summary



Account Number: 5990712211

December 01, 2021 To December 31, 2021

### Asset Statement ( Continued )

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
<b>Equity / Stocks</b>						
<b>Communication Services</b>						
ALPHABET INC CAP STK CL A	GOOGL	150.000	434,556.00 79,939.99	2,897.04 532.93	354,616.01	
<b>** Total Communication Services</b>		<b>Sub-Total</b>	<b>434,556.00 79,939.99</b>		<b>0.00 354,616.01</b>	<b>0.00</b>
<b>Consumer Discretionary</b>						
AMAZON.COM INC	AMZN	100.000	333,434.00 83,825.25	3,334.34 838.25	249,608.75	
HOME DEPOT INC	HD	750.000	311,257.50 124,746.95	415.01 166.33	4,950.00 186,510.55	1.59
NIKE INC CL B	NKE	4,200.000	700,014.00 64,373.00	166.67 15.33	5,124.00 635,641.00	0.73
TJX COS INC NEW	TJX	2,600.000	197,392.00 24,189.97	75.92 9.30	2,704.00 173,202.03	1.37
<b>** Total Consumer Discretionary</b>		<b>Sub-Total</b>	<b>1,542,097.50 297,135.17</b>		<b>12,778.00 1,244,962.33</b>	<b>0.83</b>
<b>Consumer Staples</b>						
PROCTER & GAMBLE CO	PG	2,000.000	327,160.00 87,451.45	163.58 43.73	6,958.00 239,708.55	2.13
WALMART INC	WMT	975.000	141,072.75 44,548.17	144.69 45.69	2,145.00 96,524.58	1.52
<b>** Total Consumer Staples</b>		<b>Sub-Total</b>	<b>468,232.75 131,999.62</b>		<b>9,103.00 336,233.13</b>	<b>1.94</b>
<b>Financials</b>						
JPMORGAN CHASE & CO COM	JPM	1,500.000	237,525.00 50,557.85	158.35 33.71	6,000.00 186,967.15	2.53
<b>** Total Financials</b>		<b>Sub-Total</b>	<b>237,525.00 50,557.85</b>		<b>6,000.00 186,967.15</b>	<b>2.53</b>
<b>Health Care</b>						
AMGEN INC	AMGN	800.000	179,876.00 148,612.16	224.97 185.77	6,208.00 31,363.84	3.45
IDEXX LABORATORIES INC	IDXX	3,964.000	2,610,135.44 17,719.08	658.46 4.47	2,592,416.36	
JOHNSON & JOHNSON COM	JNJ	1,200.000	205,284.00 79,617.05	171.07 66.35	5,088.00 125,666.95	2.48
PENUMBRA INC COM	PEN	500.000	143,660.00 61,088.00	287.32 122.18	82,572.00	
PFIZER INC	PFE	3,750.000	221,437.50 57,475.27	59.05 15.33	6,000.00 163,962.23	2.71
<b>** Total Health Care</b>		<b>Sub-Total</b>	<b>3,360,492.94 364,511.56</b>		<b>17,296.00 2,995,981.38</b>	<b>0.51</b>



Account Number: **5990712211**

December 01, 2021 To December 31, 2021

### Asset Statement ( Continued )

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
<b>Industrial</b>						
GENERAL DYNAMICS CORP	GD	900.000	187,623.00 56,877.93	208.47 63.20	4,284.00 130,745.07	2.28
HONEYWELL INTERNATIONAL INC	HON	400.000	83,404.00 82,063.52	208.51 205.16	1,568.00 1,340.48	1.88
L3HARRIS TECHNOLOGIES INC COM	LHX	600.000	127,944.00 47,076.00	213.24 78.46	2,448.00 80,868.00	1.91
RAYTHEON TECHNOLOGIES CORP COM	RTX	1,700.000	146,302.00 84,349.48	86.06 49.62	3,468.00 61,952.52	2.37
WEX INC COM	WEX	2,500.000	350,975.00 54,675.00	140.39 21.87	296,300.00	
<b>** Total Industrial</b>			<b>Sub- Total</b>		<b>11,768.00 571,206.07</b>	<b>1.31</b>
<b>Information Technology</b>						
APPLE INC	AAPL	4,800.000	852,336.00 133,867.00	177.57 27.89	4,224.00 718,469.00	0.50
CISCO SYSTEMS INC	CSCO	4,000.000	253,480.00 105,799.60	63.37 26.45	5,920.00 147,680.40	2.34
MICROSOFT CORP	MSFT	2,550.000	857,616.00 73,059.91	336.32 28.65	6,324.00 784,556.09	0.74
ORACLE CORP	ORCL	3,000.000	261,630.00 57,582.35	87.21 19.19	3,840.00 204,047.65	1.47
<b>** Total Information Technology</b>			<b>Sub- Total</b>		<b>20,308.00 1,854,753.14</b>	<b>0.91</b>
<b>Mutual Funds - Equity</b>						
BAILLIE GIFFORD EMERGING MARKETS K	BGKEX	2,002.002	48,928.93 40,000.00	24.44 19.98	718.72 8,928.93	1.47
ISHARES MSCI EMERGING MARKETS (MKT)	EEM	1,500.000	73,275.00 36,997.25	48.85 24.66	1,461.00 36,277.75	1.99
ISHARES RUSSELL MID-CAP GROWTH (MKT)	IWP	2,400.000	276,528.00 111,888.00	115.22 46.62	837.60 164,640.00	0.30
VANGUARD GROWTH ETF (MKT)	VUG	320.000	102,688.00 49,790.40	320.90 155.60	492.80 52,897.60	0.48
<b>** Total Mutual Funds - Equity</b>			<b>Sub- Total</b>		<b>3,510.12 262,744.28</b>	<b>0.70</b>
<b>* Total Equity / Stocks</b>			<b>9,665,634.12 1,858,170.63</b>		<b>80,763.12 7,807,463.49</b>	<b>0.84</b>
<b>Grand Total Assets</b>						
			<b>9,915,586.73 2,105,516.04</b>		<b>85,256.80 7,610,070.69</b>	<b>0.86</b>